



Practitioner's Docket No. U 014736-5

10/023362

Cofc

M/D

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Number: US 6,740,517 B2
Issued: May 25, 2004
Name of Patentee: Ming-Huang LAN, et al
Title of Invention: INCUBATION METHOD FOR OBTAINING SOLID CULTURE OF ZANG ZHI, SOLID CULTURE OBTAINED THEREFROM, PROCESSED PRODUCTS AND USE THEREOF

Certificate of Correction Branch

Director of the United States Patent and Trademark Office

P. O. Box 1450

Alexandria, VA 22313-1450

Certificate
JUN 30 2005
of Correction

ATTENTION: Certificate of Correction Branch
of the Office of Patent Publication

SECOND REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT
FOR PTO MISTAKE (37 C.F.R. §1.322(a))

NOTE: "If such a request for correction was incurred through the fault of the United States Patent and Trademark Office (Office), and is clearly disclosed in the records of the Office, and is accompanied by documentation that unequivocally supports the patentee's assertion(s), a Certificate of Correction will be expeditiously issued. Such supporting documentation can consist of relevant photocopied receipts, manuscript pages, correspondence dated and received by the Office, photocopies of Examiners' responses regarding entry of amendments, or any other validation that supports the patentee's request so that the request can be processed without the patent file." Notice of September 17, 2002, 1262 OG 96.

1. Attached is Form PTO—1050 (PTO/SB/44) suitable for printing.

NOTE: Form PTO-1050 (or PTO/SB/44), using the column and line number in the printed patent, should be used exclusively regardless of the length or complexity of the subject matter. M.P.E.P. § 1485, 7th ed.

NOTE: The patent grant should be retained by the patentee. The PTO does not attach the Certificate of Correction to the patentee's copy of the patent. The patent grant will be returned to the patentee if submitted. M.P.E.P. § 1485, 8th ed.

2. The exact page and line number where the errors are shown correctly in the application file are:

NOTE: The exact page and line number where the errors occur in the application file should be identified on the request. However, on form PTO/SB/44, only the column and line number in the printed patent should be used. M.P.E.P. § 1480, 8th Edition.

Date: June 22, 2005

JUL 06 2005

3. Please send the Certificate to:

Name: CLIFFORD J. MASS

Address: c/o Ladas & Parry LLP
26 West 61st Street
New York, NY 10023

(complete, if applicable)

Signature(s) of patentee(s)

or

Signature of assignee or person authorized to sign
on behalf of assignee

MING-HUANG LAN AND LI-YU WU
(type or print name of assignee)

☒ Assignment recorded on
February 28, 2002

Reel 012652
Frame 0300

CLIFFORD J. MASS 30086, (212) 708-1890
(type or print name of authorized person signing)

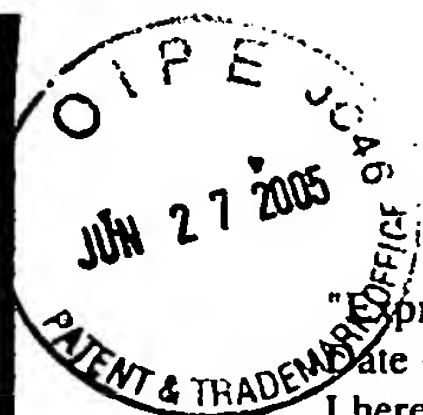
Attorney of Record who acts on behalf of assignee
Title of authorized person signing

☐ Recordal of assignment attached

☐ Attached is a "STATEMENT UNDER 37 CFR 3.73(b)," establishing the right of the assignee to take action in this case.

NOTE: "A certificate of correction, under 35 U.S.C. 254, may be issued at the request of the patentee or [the patentee's] assignee." 37 C.F.R. § 1.322(a). The certificate of correction can be signed by the attorney of record who acts on behalf of the inventor(s) or assignee(s).

JUL 06 2005



03-04-00

1761\$

"Express Mail" mailing label number: EV062921548US

Date of Deposit: February 28, 2002

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Typed or Printed Name of Person Mailing Paper or Fee: Patricia Aguillon

Signature: *Patricia Aguillon*

PATENT
Docket No. P1387

FEB 28 2002

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: MING-HUANG LAN
LI-YU WU

ART UNIT: 1761

SERIAL NO.: 10/023,362

EXAMINER: UNKNOWN

FILED: DECEMBER 14, 2001

FOR: INCUBATION METHOD FOR
OBTAINING SOLID CULTURE
OF ZANG ZHI, SOLID CULTURE
OBTAINED THEREFROM, PROCESSED
PRODUCTS AND USE THEREOF

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

TRANSMITTAL LETTER

RECEIVED
MAR 13 2002
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Dear Sir:

In connection with the above-referenced utility patent application, transmitted herewith are the following:

1. Request for Correction of Inventorship (2 pages);
2. Inventors' Statement (2 pages);
3. Declaration and Power of Attorney (2 pages);
4. Assignment Recordation Cover Sheet (1 page);
5. Assignment Agreement (2 pages);
6. Check No. 8993 in the amount of \$130.00 to pay the processing fee;

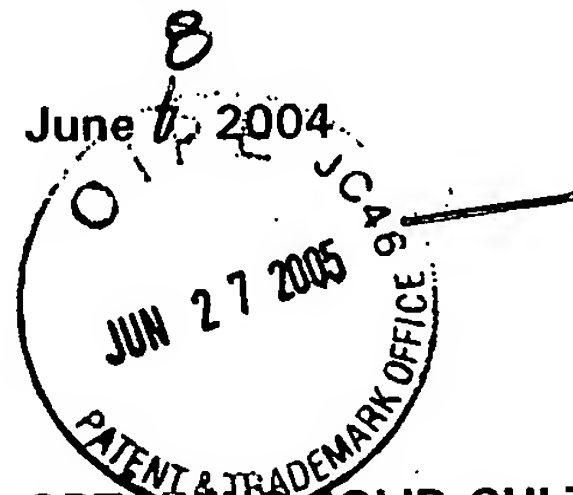
U 014736 = 5

In re: Ming-Huang LIN, et al.

Patent No.: US 6,740,517 B2

Issued: May 25, 2004

For: INCUBATION METHOD FOR OBTAINING SOLID CULTURE OF ZANG ZHI, SOLID CULTURE OBTAINED THEREFROM, PROCESSED PRODUCTS AND USE THEREOF



PATENT

PTO OFFICE

REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT FOR PTO MISTAKE; CERTIFICATE OF CORRECTION

Filed _____
CJM:os

SOLID CULTURE OF ZANG
OBTAINED THEREFROM, PROCESSED

Director of the United States Patent and Trademark Office
P. O. Box 1450
Alexandria, VA 22313-1450

ATTENTION: Certificate of Correction Branch
of the Office of Patent Publication

**REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT
FOR PTO MISTAKE (37 C.F.R. §1.322(a))**

NOTE: "If such a request for correction was incurred through the fault of the United States Patent and Trademark Office (Office), and is clearly disclosed in the records of the Office, and is accompanied by documentation that unequivocally supports the patentee's assertion(s), a Certificate of Correction will be expeditiously issued. Such supporting documentation can consist of relevant photocopied receipts, manuscript pages, correspondence dated and received by the Office, photocopies of Examiners' responses regarding entry of amendments, or any other validation that supports the patentee's request so that the request can be processed without the patent file." Notice of September 17, 2002, 1262 OG 96.

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Transmittal of February 28, 2002 (14 pages, copy attached) and its receipt (1 page, copy attached).

Date: June 7, 2004



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Date of Deposit: February 28, 2002

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Typed or Printed Name of Person Mailing Paper or Fee: Patricia Aguillon

Signature: Patricia Aguillon

PATENT
Docket No. P1387

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: MING-HUANG LAN
LI-YU WU

ART UNIT: 1761

SERIAL NO.: 10/023,362

EXAMINER: UNKNOWN

FILED: DECEMBER 14, 2001

FOR: INCUBATION METHOD FOR
OBTAINING SOLID CULTURE
OF ZANG ZHI, SOLID CULTURE
OBTAINED THEREFROM, PROCESSED
PRODUCTS AND USE THEREOF

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COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

TRANSMITTAL LETTER

Dear Sir:

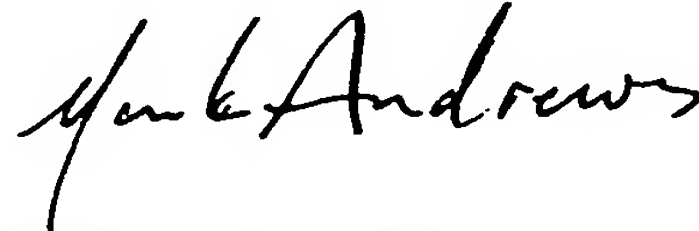
In connection with the above-referenced utility patent application, transmitted herewith are the following:

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2. Inventors' Statement (2 pages);
3. Declaration and Power of Attorney (2 pages);
4. Assignment Recordation Cover Sheet (1 page);
5. Assignment Agreement (2 pages);
6. Check No. 8993 in the amount of \$130.00 to pay the processing fee;

7. Check No. 8994 in the amount of \$40.00 to pay the recordation fee; and
8. Post card in acknowledgment of receipt of all transmitted materials.

Please date-stamp the enclosed post card and return same to the undersigned in acknowledgment of receipt of all transmitted materials.

Respectfully submitted,



Mark E. Andrews
Reg. No. 43,472

MEA\rm
February 28, 2002
LARIVIERE, GRUBMAN & PAYNE, LLP
P.O. Box 3140
Monterey, CA 93942
(831) 649-8800



Express Mail" mailing label number: EV062921548US

Date of Deposit: February 28, 2002

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Typed or Printed Name of Person Mailing Paper or Fee: Patricia Aguillon

Signature:

PATENT
Docket No. P1387

**IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: MING-HUANG LAN
LI-YU WU

ART UNIT: 1761

SERIAL NO.: 10/023,362

EXAMINER: UNKNOWN

FILED: DECEMBER 14, 2001

FOR: INCUBATION METHOD FOR
OBTAINING SOLID CULTURE
OF ZANG ZHI, SOLID CULTURE
OBTAINED THEREFROM, PROCESSED
PRODUCTS AND USE THEREOF

**COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231**

REQUEST FOR CORRECTION OF INVENTORSHIP

Dear Sir:

In accordance with 37 CFR §1.48(a), the Applicants respectfully submit this Request for Correction of Inventorship for the patent application identified above.

STATEMENT

The Applicants submit that the patent application identified above did not correctly name the inventors in the filing of the initial utility patent application. The Applicants submit that this error was made without deceptive intent.

The error was made without deceptive intent by the Assignees' attorneys in Taipei, Taiwan, who incorrectly identified the Assignees as the inventors in their instruction letter to the attorneys of record. On January 21, 2002, the attorneys of record were notified by the Taiwan attorneys of the inventorship error.

The correct inventors for the patent application identified above are: Ming-Huang LAN; Chang-Chou LAN; Chung-Liang LAN and Chung-Min LAN. The inventors have signed the enclosed statement affirming that each is an inventor for the patent application identified above.

Please delete Li-Yu WU as an inventor. Ms. Wu has signed the enclosed statement affirming that she is not an inventor for the patent application identified above.

This Request to Correct Inventorship is accompanied by a check in the amount of \$130 in accordance with the fees set in 37 CFR §1.17(i).

The Applicants respectfully request the Commissioner to grant this Request for Correction of Inventorship for the patent application identified above.

Respectfully submitted,



Mark E. Andrews
Reg. No. 43,472

MEA/rm
February 28, 2002
LARIVIERE, GRUBMAN & PAYNE, LLP
P.O. Box 3140
Monterey, CA 93940
(831) 649-8800



PATENT
Docket No. P1387

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: MING-HUANG LAN
LI-YU WU

SERIAL NO.: 10/023,362

FILED: DECEMBER 14, 2001

FOR: INCUBATION METHOD FOR OBTAINING SOLID CULTURE OF
ZANG ZHI, SOLID CULTURE OBTAINED THEREFROM,
PROCESSED PRODUCTS AND USE THEREOF

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

STATEMENT BY INVENTORS

Dear Sir:

The patent application referenced above was filed on December 14, 2001, naming the inventor applicants identified above. The applicants' names were provided by the Taiwan representative for the applicants. After filing of the U.S. patent application identified above, the Taiwan representative realized a mistake in the naming of the inventors and notified the U.S. attorneys of record of the error, namely, identifying the assignees as the inventor applicants.

The true and complete inventors for the patent application identified above are Ming-Huang LAN, Chang-Chou LAN, Chung-Liang LAN and Chung-Min LAN, as evidenced by their signatures below.

Li-Yu WU is not an inventor for the patent application identified above, as evidenced by her signature below.

The inventors and assignees submit that the error in inventorship was made without deception intent on their part, and that as soon as the error was identified, an immediate effort to correct the inventorship was undertaken.

The Applicants respectfully request the Commissioner to grant this Request for Correction of Inventorship for the patent application identified above.

SIGNED:

YES, I am an inventor for the patent application identified above as U.S. Serial No. 10/023,362.

Ming-Huang LAN

Ming-Huang LAN

2.20.02

Date

Chang - Chou LAN

Chang-Chou LAN

2.20.02

Date

Chung - Liang LAN

Chung-Liang LAN

2.20.02

Date

Chung - Min LAN

Chung-Min LAN

2.20.02

Date

NO, I am not an inventor for the patent application identified above as U.S. Serial No. 10/023,362. I am, however, one of the Assignees for the patent application identified above.

Li-Yu WU

Li-Yu WU

2.20, 2002

Date

EV062921548US



**Declaration and Power of Attorney
for Patent Application**

ATTORNEY'S DOCKET NO.
P1387

As the below named inventors, I/we hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I/We believe we are the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

INCUBATION METHOD FOR OBTAINING SOLID CULTURE OF ZANG ZHI SOLID CULTURE
OBTAINED THEREFROM, PROCESSED PRODUCTS AND USE THEREOF

the specification of which ☐ is attached hereto.
☒ was filed on December 14, 2001
Application Serial No. 10/023,362
and was amended on _____

I/We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I/We acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I/We hereby claim foreign priority benefits under Title 35, United States Code, Section 119, of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s) (Number/Country/Date Filed/Priority Claims: Yes/No)

NO

I/We hereby claim the benefit under Title 35, United States Code, Section 120, of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a), which occurred between the filing date of the prior application and the national or PCT international filing date of this application (list application Serial No./Filing Date/Status):

NONE

POWER OF ATTORNEY: As the named inventors, I/we hereby appoint the following to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

USPTO Customer No. 24,394
LaRIVIERE, GRUBMAN & PAYNE, LLP
P.O. Box 3140
Monterey, CA 93924

SEND CORRESPONDENCE TO:
USPTO Customer No. 24,394
LaRiviere, Grubman & Payne, LLP
P.O. Box 3140
Monterey, CA 93942-3140

DIRECT TELEPHONE CALLS TO:
Name: Mark E. Andrews
Telephone: (831) 649-8800

I/We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or 1st or joint inventor: Ming-Huang LAN

Inventor's Signature: Ming-Huang LAN Dated: 2-20-02
Residence: 7F. No. 9, Lane 191, Sec. 2, Di-Hua Street, Taipei, Taiwan, R.O.C. Citizenship: R.O.C.
Post Office Address: 7F. No. 9, Lane 191, Sec. 2, Di-Hua Street, Taipei, Taiwan, R.O.C.

Full name of sole or 2nd or joint inventor: Chang-Chou LAN

Inventor's Signature: Chang - Chou LAN Dated: 2-20-02
Residence: 7F. No. 9, Lane 191, Sec. 2, Di-Hua Street, Taipei, Taiwan, R.O.C. Citizenship: R.O.C.
Post Office Address: 7F. No. 9, Lane 191, Sec. 2, Di-Hua Street, Taipei, Taiwan, R.O.C.

Full name of sole or 3rd or joint inventor: Chung-Liang LAN

Inventor's Signature: Chung - Liang LAN Dated: 2-20-02
Residence: 7F. No. 9, Lane 191, Sec. 2, Di-Hua Street, Taipei, Taiwan, R.O.C. Citizenship: R.O.C.
Post Office Address: 7F. No. 9, Lane 191, Sec. 2, Di-Hua Street, Taipei, Taiwan, R.O.C.

Full name of sole or 4th or joint inventor: Chung-Min LAN

Inventor's Signature: Chung - Min LAN Dated: 2-20-02
Residence: 7F. No. 9, Lane 191, Sec. 2, Di-Hua Street, Taipei, Taiwan, R.O.C. Citizenship: R.O.C.
Post Office Address: 7F. No. 9, Lane 191, Sec. 2, Di-Hua Street, Taipei, Taiwan, R.O.C.



PATENTS ONLY

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ming-Huang LAN; Chang-Chou LAN; Chung-Liang LAN;
Chung-Min LANAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Ming-Huang LAN & Li-Yu WU

Internal Address: _____

Street Address: 7F, No. 9, Lane 191, Sec. 2, Di-Hua Street

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: Feb. 2, 2002; Feb. 2, 2002; Feb. 2, 2002;
Feb. 2, 2002

City: Taipei State: _____ Zip: _____

Country: Taiwan R.O.C.

Additional name(s) & Address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/023,362

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: LaRiviere, Grubman & Payne, LLP

Internal Address: Mark E. Andrews

Street Address: P.O. Box 3140

City: Monterey State: CA Zip: 93942

6. Total number of applications and patents involved:

One

7. Total fee (37 CFR 3.41):\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit Account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mark E. Andrews

Name of Person Signing

Reg No. 43,472

Signature

Feb. 28, 2002

Date

Total number of pages comprising cover sheet 1

ASSIGNMENT AGREEMENT

FOR good and valuable consideration, receipt of which is hereby acknowledged,

Ming-Huang LAN, of Taipei, Taiwan, R.O.C.

Chang-Chou LAN, of Taipei, Taiwan, R.O.C.

Chung-Liang LAN, of Taipei, Taiwan, R.O.C.

Chung-Min LAN, of Taipei, Taiwan, R.O.C.

hereinafter ASSIGNORS, do hereby sell, assign and transfer unto MING-HUANG LAN and LI-YU WU, doing business in Taipei, Taiwan, R.O.C., hereinafter ASSIGNEES, its successors and assignees, the entire title, interest and right, including the right of priority, in an application for Letters Patent of the United States entitled **INCUBATION METHOD FOR OBTAINING SOLID CULTURE OF ZANG ZHI, SOLID CULTURE OBTAINED THEREFROM, PROCESSED PRODUCTS AND USE THEREOF**, filed on December 14, 2001, and assigned U.S. Serial No. 10/023,362, and the inventions and any of them therein set forth and described, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor, and any and all provisional, divisional, reissue, continuation, substitute or renewal applications thereof which have been or shall be filed in the United States and any and all equivalents thereto in any and all foreign countries;

And for the above consideration ASSIGNORS covenant to ASSIGNEES, under identical terms herein, any and all improvements which have been or shall be developed by ASSIGNORS regarding subject matter herein;

And for the above consideration ASSIGNORS agree promptly upon request of the ASSIGNEES, its successors and assignees, to execute and deliver without further compensation any power of attorney, assignment, application (whether original, continuation, renewal, substitute, divisional or reissue) or other papers which may be necessary or desirable fully to secure to ASSIGNEES, its successors and assignees, the inventions and any of them described in said application and patent rights therein; in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of appeal and interference proceedings involving said inventions and/or in the adjudication or re-examination of said Letters Patent, provided that the expenses which may be incurred by ASSIGNORS in lending such cooperation and assistance be paid by ASSIGNEES; and

ASSIGNORS covenant that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by ASSIGNORS, and that full right to convey the same as herein expressed is possessed by ASSIGNORS.

This agreement constitutes the entire agreement of the parties and supersedes and cancels any and all prior and/or contemporaneous utterances, statements, representation, understandings and/or agreements whether oral and/or written in connection with this agreement.

IN WITNESS WHEREOF, ASSIGNORS agree to the above-mentioned terms and conditions as evidenced by their signatures below:

2.20, 02
Date

Ming-Huang LAN
Ming-Huang LAN

2 20, 02
Date

Chang-Chou LAN
Chang-Chou LAN

2.20, 02
Date

Chung-Liang LAN
Chung-Liang LAN

2 20, 02
Date

Chung-Min LAN
Chung-Min LAN

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MONTEREY, CA 93942

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Monterey, California 93942-2718

90-4071/1211

DATE

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Feb 28, 2002

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Edward J. Payne
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Monterey, California 93942-2718

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Feb 28, 2002

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41 009036⑈

LA RIVIERE, GRUBMAN & PAYNE, LLP

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46/

Title: INCUBATION METHOD FOR OBTAINING SOLID CULTURE OF ZANG ZHI,
SOLID CULTURE OBTAINED THEREFROM, PROCESSED PRODUCTS AND USE
THEREOF

DN: P1387

Serial No.: 10/023,362

Express Mail Label Number: EV062921548US

Express Mail DATE IN: February 28, 2002

Please acknowledge receipt of the documents described below by imprinting the Patent and
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1. Transmittal Letter (2 pages);
2. Request for Correction of Inventorship (2 pages);
3. Inventors' Statement (2 pages);
4. Declaration and Power of Attorney (2 pages);
5. Assignment Recordation Cover Sheet (1 page);
6. Assignment Agreement (2 pages);
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**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**

PATENT NO. : US 6,740,517 B2
DATED : May 25, 2004
INVENTOR(S): Ming-Huang LAN, et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Issued [On title page, item 76, " 7F, No. 9, Lane 191, Sec. 2, Di-Hua St., Taipei (TW);
Li-Yu Wu," should read -- Chang-Chou Lan, Chung-Liang Lan, Chung-Min Lan, all
of --; and insert -- [73] Assignee: Ming-Huang Lan and Li-Yu Wu, Taipei, TW --.

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JUL 06 2005